Guided by Values:
Creating an Open Values Statement

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IUPUI University Library

CNI Virtual Spring Member Meeting
April 14, 2020

How did this start?

Inspiration

University of California Libraries STAR Team

Choosing Pathways to Open Access Forum

University of Western Ontario Libraries

Rationale

IUPUI University Library contributes to the transformation of scholarly communication through a variety of mechanisms and **seeks to define a holistic, values-based vision** for those contributions moving forward. The vision will be rooted in both University Library and IUPUI values. The **Scholarly Communication Advisory Group** will develop the Library's scholarly communication vision and **facilitate strategic investment** scholarly communication initiatives, resources, and services.

SCAG Charge

The advisory group is charged with:

- **defining a holistic vision for how UL will contribute to the transformation of scholarly communication through its programs and services;**
- **establishing**, reviewing, and revising evaluation criteria for open resources and scholarly communication initiatives…;
- **reviewing** open resources and scholarly communication initiatives based on the established criteria;
- **recommending** open resources and scholarly communication initiatives to Library Administration for funding; …

Purpose/Audience

To ensure all UL employees have a shared understanding of the value of Open;

To guide the Scholarly Communication Advisory Group in

- establishing, reviewing, and revising evaluation criteria for open resources and scholarly communication/Open initiatives and evaluation templates and tools and

- reviewing and recommending open resources and scholarly communication/Open initiatives

To enhance transparency of decisions related to Open initiatives, memberships, etc.

How to define a holistic vision?

Open Access

Western Libraries Open Access Statement

Open Access is the barrier-free, immediate availability of research outputs coupled with the rights to fully use and build upon these outputs. Open access works are available at no cost to all readers, and are licensed to allow users to retain, reuse, revise, redistribute, or remix the work without seeking permission.

At Western Libraries we support open culture and partner with researchers in identifying open avenues for disseminating research into the global knowledge base. Our support for Open Access is rooted in our commitment to:

- Increase the visibility and impact of Western University research on a national and global scale.
- Facilitate equitable access to scholarly materials for the betterment of society, regardless of location, affiliation, or status.
- Enable interdisciplinary research collaboration.
- Create opportunities for innovative scholarship through sharing and reuse of research outputs.
- Make publicly funded research available to communities beyond the Academy

Step 1: Open Values Town Hall

What does Open mean to you?

Why does (or should) UL support Open?

Why does (or could) UL support Open?

Step 2: Focus Groups

Open Access

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Mount Royal University Library

Position Statement on Open Access

The Mount Royal University Library is committed to the principles of Open Access as they are outline[d] *Budapest Open Access Initiative.*

The Library confirms its commitment to Open Access as expressed in the Canadian Association of Res[earch] Libraries' *Position Statement on Open Access* as well as the International Federation of Library Associ[ation] and Institutions' *Statement on Open Access to Scholarly Literature and Research Documentation.*

The Library will:
- provide access to Open Access materials via the Library collection;
- support Open Access publishing at the University via development of open initiatives, includi[ng] institutional repository and an academic journal publishing system;
- act as an information resource for, and inform the University community about, the benefits [and] challenges of Open Access;
- work with institutional members to ensure that funding agency requirements for Open Acce[ss] upheld;

Step 3: Drafting

- **January 31:** SCAG reviewed summaries & compiled phrases/words to include/avoid
- **February 18 & 28:** SCAG met to plan drafting and held writing session
- **March 11:** SCAG met to discuss drafted sections
- **April 18:** SCAG reviewed & edited draft in preparation for feedback session
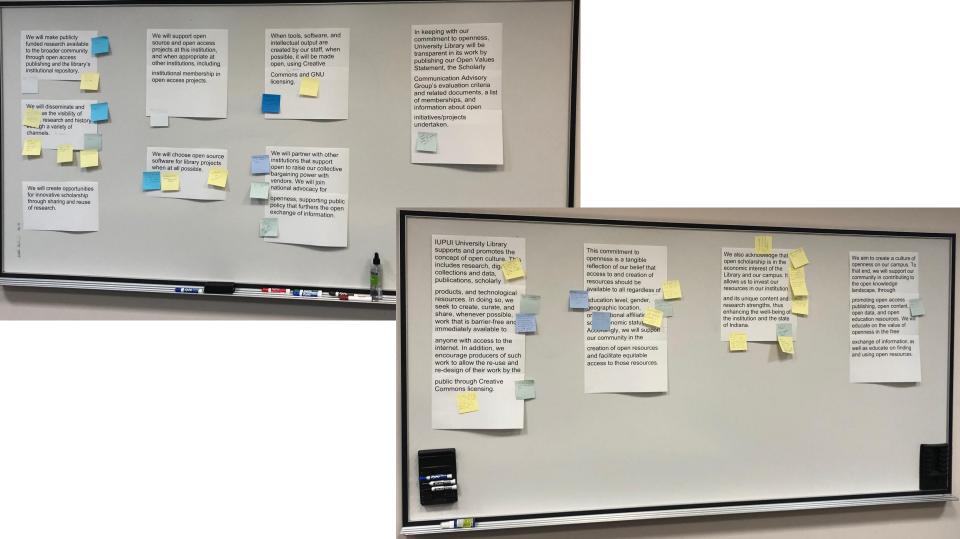
Step 4: Gathering Feedback

Tell us what you like about the Open Values Statement on your not-yellow Post-Its. Please be as specific as possible.

Post them on that part of the Statement.

Tell us what you don't like or that you find unclear/confusing about the Open Values Statement on your yellow Post-Its. Please be as specific as possible.

Post them on that part of the Statement.

We will make publicly funded research available to the broader community through open access publishing and the library's institutional repository.

We will support open source and open access projects at this institution, and when appropriate at other institutions, including institutional membership in open access projects.

When tools, software, and intellectual output are created by our staff, when possible, it will be made open, using Creative Commons and GNU licensing.

In keeping with our commitment to openness, University Library will be transparent in its work by publishing our Open Values Statement, the Scholarly Communication Advisory Group's evaluation criteria and related documents, a list of memberships, and information about open initiatives/projects undertaken.

We will disseminate and ____se the visibility of ____ research and history thr__gh a variety of channels.

We will choose open source software for library projects when at all possible.

We will partner with other institutions that support open to raise our collective bargaining power with vendors. We will join national advocacy for openness, supporting public policy that furthers the open exchange of information.

We will create opportunities for innovative scholarship through sharing and reuse of research.

IUPUI University Library supports and promotes the concept of open culture. This includes research, dig___ collections and data, publications, scholarly products, and technological resources. In doing so, we seek to create, curate, and share, whenever possible, work that is barrier-free and immediately available to anyone with access to the internet. In addition, we encourage producers of such work to allow the re-use and re-design of their work by the public through Creative Commons licensing.

This commitment to openness is a tangible reflection of our belief that access to and creation of resources should be available to all regardless of education level, gender, geographic location, or ___tutional affiliati__ ___ so___nomic status. Accordingly, we will support our community in the creation of open resources and facilitate equitable access to those resources.

We also acknowledge that open scholarship is in the economic interest of the Library and our campus. It allows us to invest our resources in our institution and its unique content and research strengths, thus enhancing the well-being of the institution and the state of Indiana.

We aim to create a culture of openness on our campus. To that end, we will support our community in contributing to the open knowledge landscape, through promoting open access publishing, open content, open data, and open education resources. We will educate on the value of openness in the free exchange of information, as well as educate on finding and using open resources.

IUPUI University Library
Open Values Statement

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Tina Baich
May 9, 2019

Resolve

YELLOW: "Specific mention of gender but not race/ethnicity feels odd.

What does our Open Values Statement say?

University Library

Resources ▾   Guides ▾   Services ▾   Technologies ▾   About Us ▾   Need Help? ▾

Open Values

The Statement

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This commitment to openness is a tangible reflection of our belief that access to and creation of resources should

Open Initiatives

Data Repository
Digital Collections
Institutional Repository
Memberships
Open Access Fund
Open Access Policy
Open Educational Resources
Open Access Journals at IUPUI
Open Knowledge
Open Source Development
RLSCA Digital Resources
Scholarly Communication Advisory Group

Our Values

- supports and promotes the concept of **[open culture](#)** (broad in scope)
- seek to create, curate, and share, whenever possible, work that is **barrier-free** and **immediately available** to anyone with access to the internet
- belief that access to and creation of resources should be **available to all**
- **support** our community in the **creation** of open resources
- facilitate **equitable access** to those resources
- create a **culture of openness** on campus
- **support** our community in **contributing** to the open knowledge landscape
- **educate** our community about the **value of openness**

Our Commitments, part 1

- **making research available** to the broader community through open access publishing and the library's institutional repository.
- **disseminating and increasing the visibility** of IUPUI research and central Indiana history through a variety of channels, such as IUPUI ScholarWorks and cultural heritage collections.
- **creating opportunities** for innovative learning and scholarship through **sharing** and **reuse** of open resources.
- **supporting and participating in collaborative open initiatives** within our institution and with other research, higher education, and community-based organizations, including institutional memberships in open projects and organizations.

Our Commitments, part 2

- **choosing open source** software, tools, and resources for library projects when at all possible.
- making tools, software, and intellectual output **created by our staff open** using appropriate open licensing, when possible.
- **partnering** with other institutions that support open to raise our **collective bargaining power** with vendors.
- **joining national advocacy** for openness and **supporting public policy** that furthers the open exchange of information.

Ok.
Ok.
What's next?

Developing evaluation criteria

Criterion	Pass	Fail	N/A	
1. Baseline UL Principles				

CAT	Criterion	Low	Medium	High	N/A
5	Supports and integrates well with evolving modes of scholarly communication (e.g. text mining, open APIs) through interoperable, open architecture				
6	Cost is reasonable to implement, operate, and maintain the resource in the context of UL's budget and staffing.				
6	Employs transparent policies around editorial practices, development practices, etc.				
2	Uses an open license (e.g. Creative Commons, GNU, etc.)				
2	Allows rightsholders to retain the rights granted them by copyright (i.e. reproduce, derive, distribute, perform, display)				
2	Adheres to accessibility standards such as those issued by the World Wide Web Consortium (W3C)				
6	Plans for business continuity and ongoing stewardship (e.g. participation in trusted archives). In the case of community-owned initiatives, structures that prevent sale to a company whose business model is not compatible with open values				
3	Provides unique and/or vulnerable content that was not previously available (e.g.: posters, negative results, data publications, multimedia formats, etc.) and may otherwise be at risk				
5	Provides direct competition to expensive comparable resources, systems, etc.				
	Includes strategies to influence scholarly communication ventures				

BasicInformation ▾   FirstRoundEvaluation ▾   FullEvaluation ▾   FullEvaluationSummary ▾

How do we gather the necessary info?

? [Infrastructure Checklist](#)

? [UC Evaluation Request Form](#)

? Custom Checklist

Opportunity Name	
URL	
Provider	
Provider Contact	
Brief Description	
Cost	
Referred by (UL individual or group)	
Referral Date	
Current UL investment status	
	All opportunities are evaluated against criteria from the following six categories.
	1. Baseline UL Principles
	2. Making research available to the broader community
	3. Disseminating and increasing the visibility of IUPUI research and central Indiana history through a variety of channels
	4. Supporting and participating in collaborative open initiatives within our institution and with other research, higher education, and community-based organizations, including institutional memberships in open projects and organizations
	5. Choosing open source software, tools, and resources for library projects when at all possible
	6. Ensuring affordability, sustainability, and transparency

Many thanks!

- Heather Coates, Interim Co-Director, Center for Digital Scholarship & Data Management Librarian
- Chip Dye, Director of Operations
- Justin Kani, OER & Business/SPEA Librarian
- Mairelys Lemus-Rojas, Digital Initiatives Metadata Librarian
- Jere Odell, Scholarly Communication Librarian
- Caitlin Pike, Research Engagement Coordinator & Health Sciences Librarian
- Denise Rayman, Philanthropic Studies Librarian & Digital Archivist

Resources

- Baich, Tina. 2019 October 22. "Creating an Open Values Statement." IUPUI University Library Center for Digital Scholarship Blog, https://ulib.iupui.edu/digitalscholarship/blog/creating-open-values-statement.
- IUPUI University Library. *Open Values*. https://www.ulib.iupui.edu/openvalues
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- Rigling, Lillian, Emily Carlisle, and Courtney Waugh. "In Pursuit of Equity: Applying Design Thinking to Develop a Values-Based Open Access Statement." In the Library with the Lead Pipe (blog). Accessed November 13, 2018. http://www.inthelibrarywiththeleadpipe.org/2018/oa-statement/.
- University of California STAR Team. https://libraries.universityofcalifornia.edu/sclg/star
- University of Western Ontario Libraries. *Open Access Statement*. https://www.lib.uwo.ca/scholarship/index.html

Questions?

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https://www.ulib.iupui.edu/openvalues